



# Common Application Form for Equity, Monthly Income and Balanced Schemes

(For Lumpsum / Systematic Investments)



Expertise that's trusted

Sr. No.: **A**

**1. DISTRIBUTOR INFORMATION** (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund)

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	M. O. CODE
ARN-0155 / NJ India Invest	55537	

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Existing Folio Number:   **SIP Form Attached**

**MANDATORY** [Please tick (✓)] Refer Instruction - F

APPLICANT DETAILS	PAN * please attach proof	Know Your Client (KYC)	STATUS
<b>FIRST APPLICANT</b>	<span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Societies
<b>SECOND APPLICANT</b>	<span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> NRI/NRO <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> HUF
<b>THIRD APPLICANT</b>	<span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> NRI/NRE <input type="checkbox"/> Pvt. Ltd. Co. <input type="checkbox"/> PIO
<b>GUARDIAN/POA HOLDER</b>	<span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>	<input type="checkbox"/> Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> Trust <input type="checkbox"/> FII <input type="checkbox"/> Body Corporate
		<input type="checkbox"/> Proprietorship <input type="checkbox"/> LLP	<input type="checkbox"/> On behalf of Minor <input type="checkbox"/> FOF
			<input type="checkbox"/> Others <small>Please specify</small>

**2. SOLE / FIRST APPLICANT'S PERSONAL DETAILS** (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words, as it appears in your Bank Account)

Name **Mr Ms M/s**

Date of Birth  Status: (✓)  RI  NRI

Proof of DOB (Mandatory in case of minor)  Birth Certificate  School Leaving Certificate  Passport  Other \_\_\_\_\_

**Guardian Name** (in case of Minor) **Contact Person** - Designation (non individual) / **PoA Holder** (investments made of constitutional attorney).

Name **Mr Ms M/s**

Date of Birth  Status: (✓)  RI  NRI

Proof of DOB (Mandatory in case of minor)  Birth Certificate  School Leaving Certificate  Passport  Other \_\_\_\_\_

**Address** [P. O. Box Address is not sufficient] (Indian address in case of NRI's / FII's)

City Pin code Mandatory

State Country

**Contact Details**

Phone **O**  **R**  Extn.  Fax  Mobile

e-mail

I/We wish to receive the following via e-mail in lieu of physical document(s) (Please ✓)  Account Statement  Annual Report  Other Communication

**Overseas Address** (Mandatory in case of NRI / FII applicant in addition to mailing address)

City Zip code

State Country

I/We confirm that I am/we are non-residents of Indian nationality/origin & that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

Occupation (please ✓)  Agriculture  Business  Service  Professional  Housewife  Retired  Student  Other

**3. JOINT APPLICANT'S DETAILS** (Not Applicable where First Holder is a Minor)

**Second Applicant**

Name **Mr Ms M/s**

Date of Birth  Status: (✓)  RI  NRI

**Third Applicant**

Name **Mr Ms M/s**

Date of Birth  Status: (✓)  RI  NRI

**Name of Power of Attorney holder for investment on behalf of Applicant / contact person - designated (Non Individuals).**

Name **Mr Ms M/s**

**Mode of Holding** (please ✓)  Single OR  Joint OR  Anyone or Survivor **Default Option: Joint**

**ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)**

Sr. No.: **A**

Received from Mr. / Ms. / M/s.

an application for Units of

Plan  Option  Sub-option

alongwith Cheque / DD No.  Dated  Drawn on (Bank)

Amount (₹)

Signature, Stamp & Date

Subject to realisation of cheque / Demand Draft and verification of mandatory information / document.

**4. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction H**

All communication/payments will be made to first applicant or to Karta in case of HUF Bank account details of First Unitholder required without which the application would be rejected

Name of the Bank									
Branch					Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE				
Account No. (in Fig.)									
Bank Address									
City			State			PIN			
^MICR Code		*IFSC Code (RTGS)			*IFSC Code (NEFT)				

^(To be filled in only if dividend is to be paid through ECS). \* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)

**5. DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND / REFUND PAYOUTS - Refer Instruction I**

Unitholders having bank account with ICICI Bank Ltd/HDFC Bank Ltd/Axis Bank/IDBI Bank/Standard Chartered Bank/Kotak Mahindra Bank/HSBC Bank/Deutsche Bank/Royal Bank of Scotland/Oriental Bank of Commerce/State Bank of India (Core banking centers only - subject to validation) & who have provided the NEFT/RTGS/ECS code may receive their redemption/dividend proceeds (if any) directly into their bank accounts. In case you wish to receive a cheque/demand draft, please tick here

**6. SCHEME DETAILS Refer page 6 & 7 for correct scheme name**

Scheme Name \_\_\_\_\_ Plan \_\_\_\_\_  
 Options \_\_\_\_\_ Dividend Frequency \_\_\_\_\_

Scheme Name : **TATA INFRASTRUCTURE FUND GROWTH OPTION** Would you like to avail of the Trigger Facility?  Yes  No  
 Trigger choice: (Tick (✓) NAV Appreciation):  5%  10% (Default: 10%) Trigger option:  Switch to Tata Floater Fund - Growth Option  Redeem  
 Default Trigger at 10%. (Default: Switch to Tata Floater Fund)

**INVESTMENT DETAILS (Strike off whichever is not applicable)**

Gross Amount (A)	DD Charges (if any) (B)	Net Amount (Cheque / DD Amount)	
₹	A	B	₹
Mode of Payment		Dated	
A/c No.	A/c Type	Cheque / DD No.	D D / M M / Y Y Y Y
Drawn on Bank		Branch City	

SIP THROUGH AUTO-DEBIT (ECS). Please fill up enclosed SIP Auto Debit (ECS) Facility Form and submit it together with this Application Form.  SIP THROUGH POST-DATED CHEQUES Please fill attached SIP form for Post Dated Cheques (PDCs)

**7. NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) Refer Instruction K (MANDATORY)**

Please select any one of the follows:  
 Please register nomination as requested below (please fill the nomination form below)  I wish to nominate multiple nominees (please strike out the form below & fill separate form attached herewith)  I do not wish to nominate.  
 I/We hereby nominate the person more particularly described hereunder to receive the Units allotted to me/us/credit in my/our folio in the event of my/our death. I/We understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be a valid discharge of the AMC / Mutual Fund / Trustees.  
 Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 Date of Birth \_\_\_\_\_ Proof of DOB  Birth Certificate  School Leaving Certificate  Passport  Others \_\_\_\_\_  
 The Nominee is a minor whose guardian is: \_\_\_\_\_  
 Name & Address of Guardian: \_\_\_\_\_  
 Relationship of the Nominee with the Guardian  Mother  Father  Legal Guardian  
 Proof of relationship:  Birth Certificate  School Leaving Certificate  Passport  Others \_\_\_\_\_  
 Sign of Nominee/ Guardian (in case of minor nominee)

**8. DECLARATION AND SIGNATURES. Refer Instruction - C**

<p><b>The Trustee, Tata Mutual Fund</b>                  a) Having read &amp; understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme &amp; agree to abide by the terms, conditions, rules &amp; regulations governing the scheme.                  I/We hereby declare that the amount invested in the scheme is through legitimate sources only &amp; does not involve &amp; is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme &amp; I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption &amp; undertaking such other action with such funds that may be required by the Law. b) For NRIs: I/ We confirm that I am / we are Non Residents of Indian Nationality/ Origin &amp; that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/We confirm that details provided by me / us are true and correct. e) I/We have read &amp; understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. 27/04/2007 &amp; SEBI Circular No. 35/MEM-COR/18/07-08 dt. 26/06/2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year.                  Date: _____</p>	<p>1st Unitholder Signature / Thumb Impression _____                  2nd Unitholder Signature / Thumb Impression _____                  3rd Unitholder Signature / Thumb Impression _____</p>
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**CHECKLIST**

Toll Free: 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Documents as listed below are submitted along with this application.

Document List		Document List		Document List	
1. PAN	<input type="checkbox"/>	5. Trust Deed	<input type="checkbox"/>	9. Notarised Power of Attorney	<input type="checkbox"/>
2. KYC	<input type="checkbox"/>	6. Bye-Laws	<input type="checkbox"/>	10. Foreign Invest Remittance Certificate (FIRC)	<input type="checkbox"/>
3. Resolution / Authorisation to invest	<input type="checkbox"/>	7. Partnership Deed	<input type="checkbox"/>	11. MICROSIP document	<input type="checkbox"/>
4. Authorised Signatories List with Specimen Signature	<input type="checkbox"/>	8. Overseas Auditor's Certificate	<input type="checkbox"/>	12. Others	<input type="checkbox"/>

All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.