



APPLICATION FORM FOR TATA RETIREMENT SAVINGS FUND

(An Open Ended Fund comprising three plans)



Expertise that's trusted

Sr. No.:

1. DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund)

BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	M. O. CODE
NJ India Invest / ARN-0155	55537	

As per SEBI Circular, Cir/IMD/DF/13/2011 dated 22/08/2011, transaction charges (₹ 100 / ₹ 150) as applicable would be deducted from the investment amount. (Refer Inst. A17 for further details). Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

2. Existing Unitholder information for existing investors, please fill in your Folio Number, Name & proceed to Scheme Investment Details.

Existing Folio Number: Name of Sole/1st Applicant:

3. DEMAT ACCOUNT DETAILS: (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant). In case Unit holders do not provide their Demat Account details, Units will be allotted in physical form. (Refer Instruction D)

National Securities Depository Limited	Depository participant Name <input style="width: 90%;" type="text"/> DP ID No. <input style="width: 100px;" type="text"/> I <input type="checkbox"/> N <input type="checkbox"/> Beneficiary Account No. <input style="width: 150px;" type="text"/>	Central Depository Securities Limited	Depository participant Name <input style="width: 90%;" type="text"/> Target ID No. <input style="width: 150px;" type="text"/>
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4. MODE OF HOLDING

- Single
- Joint (Default)
- Anyone or Survivor(s)

OCCUPATION

- Business Professional Service Retired
 Student Housewife Civil Servant Politician
 Forex Dealer Others Political Party Official
 Current/Former MP/MLA/MLC/Head of State
 Senior Executive of State owned corporation

STATUS

- 1st Holder Resident Indian NRI PIO HUF
- Minor RI Minor NRI
- 2nd Holder RI NRI 3rd Holder RI NRI

5. APPLICANT'S PERSONAL DETAILS (Fill in Block Letters, use one box for one alphabet leaving one box blank between two words, as it appears in your Bank A/c & KYC letter)

Name of First / Sole applicant Mr. Ms. M/s.

1st holder PAN KYC Copy attached Document Category No. Date of Birth**

Proof of DOB (Mandatory for minor) Birth Certificate School Leaving Certificate Passport Other (**Date of birth Mandatory for 1st applicant / Karta for HUF)

Name of Guardian (In case of Minor-Contact Person/Designation - In case of non-individual Investors) Mr. Ms. Relation with Minor/Designation

Guardian's PAN KYC Copy attached Document Category No.

Proof of relationship with minor (Mandatory) Mother / Father / Legal Guardian Birth Certificate School Leaving Certificate Passport Other

Name of Second applicant Mr. Ms.

2nd holder PAN KYC Copy attached Document Category No.

Name of Third applicant Mr. Ms. For Micro SIP only. (Refer SIP Mandate Inst. No. 15)

3rd holder PAN KYC Copy attached Document Category No.

Mailing Address of Sole / First Applicant (P.O. Box Address may not be sufficient. Please provide your complete Address)

Add 1
 Add 2
 Add 3 City
 Pin State Country

Contact Details (REQUIRED)

Phone O Extn. Fax
 R (STD Code) Mobile

e-mail → (IN CAPITAL)

[If you wish to receive Account Statement / Annual Report / Other Statutory Information via Post instead of Email (Refer Inst. - C8)].

Overseas Address (Mandatory in case of NRI applicant in addition to mailing address)

City
 Zip code State Country

ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)

Sr. No.:

Received from Mr. / Ms. / M/s.

an application for Units of **Tata Retirement Savings Fund**

Plan Progressive Moderate Conservative

alongwith Cheque / DD No. Dated Drawn on (Bank)

Amount (₹)

Signature, Stamp & Date

Subject to realisation of Cheque / Demand Draft and verification of mandatory information / document.

6. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction H

All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected

Name of the Bank									
Branch					Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE				
Account No. (in Fig.)									
Bank Address									
City			State				PIN		
^ MICR Code			*IFSC Code (RTGS)			*IFSC Code (NEFT)			

* This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)

7. (A) INVESTMENT TYPE - Choose any one
 Lumpsum Lumpsum + SIP SIP only MICRO SIP [For SIP / MICRO SIP please fill the ECS / AD / SI mandate form enclosed & skip 7.(B) & 7.(C)]
7. (B) SCHEME INVESTMENT DETAILS (For Lumpsum Only) Multi-Plan investment facility available. However only 1 option per plan (Refer Inst. I, J & K for default values)

Plan Name	Investment Amount (₹)	Please tick the appropriate option (any one per plan)	
<input type="checkbox"/> Progressive Plan		<input type="checkbox"/> Auto Switch Option 1 (Progressive to Moderate @ age 45; Moderate to Conservative @ age 60), <input type="checkbox"/> Auto Switch Option 2 (Progressive to Conservative @ age 60) <input type="checkbox"/> No Auto Switch	
<input type="checkbox"/> Moderate Plan		<input type="checkbox"/> Auto Switch Option 3 (Moderate to Conservative @ age 60)	<input type="checkbox"/> No Auto Switch
<input type="checkbox"/> Conservative Plan		-----	
Total Investment Amount (₹)	₹ In Figures:	₹ In Words:	

7. (C) INVESTMENT DETAILS (For lumpsum Investment Amount only) Refer instruction A - 17 for Transaction Charges

Gross Amount (A) Rs.	DD Charges (if any) (B) Rs.	Net Amount (Cheque / DD Amount) Rs.
A	B	A - B

Mode of Payment
A/c. No. _____ A/c. Type _____ Cheque / DD No. _____

Dated DD / MM / YYYY Drawn on Bank _____ City _____

8. AUTO SWP FACILITY (Refer Inst. L) (Will be applicable after attaining 60 years). No Auto SWP Monthly SWP Quarterly SWP **Default: Quarterly SWP****9. NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) Refer Instruction M (MANDATORY)**

Please select any one of the follows:
 Please register nomination as requested below (please fill the nomination form below) I wish to nominate multiple nominees (please strike out the form below & fill separate form attached herewith) I do not wish to nominate.

I/We hereby nominate the person more particularly described hereunder to receive the Units allotted to me/us/credit in my/our folio in the event of my/our death. I/We understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be a valid discharge of the AMC / Mutual Fund / Trustees.

Name _____
 Address _____
 Date of Birth _____ Proof of DOB Birth Certificate School Leaving Certificate Passport Others _____
 The Nominee is a minor whose guardian is: _____
 Name & Address of Guardian: _____

Relationship of the Nominee with the Guardian Mother Father Legal Guardian
 Proof of relationship: Birth Certificate School Leaving Certificate Passport Others _____

Sign of Nominee/ Guardian (in case of minor nominee)

10. TRANSACT ONLINE PLATFORM (APPLICABLE FOR KYC COMPLIANT INVESTORS ONLY)
 I wish to apply for PIN to transact online on www.tatamutualfund.com I have read and understood the terms and conditions mentioned on the website: www.tatamutualfund.com
11. DECLARATION AND SIGNATURES. Refer Instruction - C

The Trustee, Tata Mutual Fund
 a) Having read & understood the contents of the Scheme Information Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Govt of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b) For NRIs: I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin & that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. c) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. d) I/ We confirm that details provided by me / us are true and correct. e) I/We have read & understood the SEBI Circular No. MRD/DoP/Cir-05/2007 dt. 27/04/2007 & SEBI Circular No. 35/MEM-COR/18/07-08 dt. 26/06/2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card. f) For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year

Date: _____

1st Unitholder Signature / Thumb Impression	2nd Unitholder Signature / Thumb Impression	3rd Unitholder Signature / Thumb Impression
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CHECKLIST

Toll Free: 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Documents as listed below are submitted along with this application.

Document List	Document List	Document List
1. PAN <input type="checkbox"/>	3. Notarised Power of Attorney <input type="checkbox"/>	5. POA <input type="checkbox"/>
2. KYC <input type="checkbox"/>	4. MICROSIP document <input type="checkbox"/>	6. Others _____